# PATENT COOPERATION TREATY

To:	<u> </u>		L PRELIMINARY EXA		PCT				
Boge P.O.	Allé Box 2970	45 Hors	sholm	·	WRITTEN OPINION (PCT Rule 66)				
				,	Date of mailing (day/month/year)	06.06.2006			
• •	ant's		ent's file reference		REPLY DUE within 0 month(s) and 15 days from the above date of mailing				
	nternational application No. PCT/DK2005/000137			International filing date ( 28.02.2005	day/month/year)	Priority date (day/month/year) 01.03.2004			
			ont Classification (IPC) or 14 C12Q1/04 C12Q1/	poth national classification 34	and IPC	•			
Applic MYC		ETEĤ	R APS et al.	-					
1.	This	writte	en opinion is the <b>seco</b> l	nd drawn up by this Inte	ernational Preliminary	Examining Authority.			
2.	This opinion contains indications relating to the following items:								
	1	×	Basis of the opinion						
			Priority .						
	III		•	opinion with regard to	novelty, inventive ste	p and industrial applicability			
	IV		Lack of unity of inver						
	Ì	Ø	Reasoned statement citations and explana	under Rule 66.2(a)(ii) v itions supporting such s	with regard to novelty, inventive step or industrial applicability; statement				
	VI		Certain documents c	ited					
	_			n defects in the international application					
	VIII   Certain observations of		Certain observations	on the international app	olication				
3.	The applicant is hereby invited to reply to this opinion.								
	Whe	en?	See the time limit indicate request this Authority to	ited above. The applicant i grant an extension, see F	may, before the expirational section (a) and the section (a) and the section (b) and t	on of that time limit,			
	How? By submitting a written in For the form and the land		By submitting a written For the form and the lar	reply, accompanied, where nguage of the amendments	e appropriate, by amend s, see Rules 66.8 and 60	lments, according to Rule 66.3. 8.9.			
	For the examiner's oblig		<ul> <li>For the examiners oblig</li> </ul>	tunity to submit amendmengation to consider amendment nication with the examiner	nents and/or arguments,	see Rule 66.4 bis.			
	If no reply is filled, the international preliminary examination report will be established on the basis of this opinion.								
4.	The	final	date by which the inter		·				
				•					
	_,				Authorized Officer				



preliminary examining authority:

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Formalities officer (incl. extension of time limits)

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# 10/591321 T-351 P. IAP9 Rec'd PCT/PTO 31 AUG 2006

## WRITTEN OPINION

International application No.

PCT/DK2005/000137

		-	
I.	<b>Basis</b>	of the	opinion

1. With regard to the elements of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed"):

٠	Des	Description, Pages								
	1-21	, –	as originally filed							
	Clai	Claims, Numbers								
	1-47	7	as originally filed							
	Dra	wings, Sheets								
	1/3-	3/3	as originally filed							
2.	With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.									
	The	se elements were ava	ailable or furnished to this Authority in the following language: , which is:							
		the language of publi	nslation furnished for the purposes of the international search (under Rule 23.1(b)). ication of the international application (under Rule 48.3(b)). inslation furnished for the purposes of international preliminary examination (under 3).							
3.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:									
		contained in the inter	mational application in written form.							
		filed together with the	e international application in computer readable form.							
		furnished subsequently to this Authority in written form.								
		itly to this Authority in computer readable form.								
		The statement that the international a	ne subsequently furnished written sequence listing does not go beyond the disclosure pplication as filed has been furnished.							
		The statement that the listing has been furni	ne information recorded in computer readable form is identical to the written sequence ished.							
4.	The	The amendments have resulted in the cancellation of:								
		the description,	pages:							
		the claims,	Nos.:							
		the drawings,	sheets:							
5.		This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).								
6.	Add	Additional observations, if necessary:								

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International application No.

PCT/DK2005/000137

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Claims

1 46 47

Inventive step (IS)

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Claims

1-47

Industrial applicability (IA)

Claims

2. Citations and explanations

see separate sheet

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# WRITTEN OPINION SEPARATE SHEET

International application No. PCT/DK2005/000137

### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1 Reference is made to the following documents:

D1: WO 03/012397 A (MATSUSHITA SEIKO CO., LTD.) 13 February 2003 (2003-02-13)

D2: US 5 811 251 A (A. HIROSE ET AL.) 22 September 1998 (1998-09-22)

D3: EP 0 574 977 A (J. D. BERG) 22 December 1993 (1993-12-22)

D4: US 4 871 662 A (E.ROSOV) 3 October 1989 (1989-10-03)

As D1 is written in Japanese the reasoning below and cited passages will be taken from the English language family member of D1, namely US 2004/219628, which is assumed to have the same content.

# 2 NOVELTY

Document D1 discloses (the references in parentheses applying to US2004/219628 2.1 as explained above) a collection unit ("a microorganism collecting chip") in which microorganisms present in a sample are trapped and subsequently detected by colour, fluorescence or luminescence, a microorganism collecting kit and a method of quantifying microorganisms using this microorganism collecting kit. (page 1, paragraph 1). The collection unit for the microorganisms includes a first filter for removal of contaminants with a pore size of 5-20 microns which allows the passage of microorganisms in the sample and a second filter with a pore size of 0.2-0.8 microns for trapping the microorganisms for detection (page 1, paragraph 5 and page 2, paragraph 31). (NB. The contaminants referred to in D1 are various types of debris which may interfere with the detection process (page 2, paragraph 30). The present application describes this type of debris as "larger particles", whereas the contaminants are the microorganisms to be detected). The collection unit is provided with a suction filtration unit for applying negative pressure to the collection unit thereby facilitating flow of the sample through the filters (page 1, paragraphs 13-14 and page 3, paragraph 40). Quantification of microorganisms is provided by trapping said microorganisms on a filter followed by staining (page 2, paragraph 19). Alternatively quantification of the microorganisms trapped on the collection filter is

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# WRITTEN OPINION SEPARATE SHEET

achieved by differential colouration of the various classes of microorganisms, both living and dead, by application of different colorant compounds (page 3, paragraph 45 and page 6, paragraph 79). Furthermore viable cells are detected by colouring using compounds which react with enzymes present in the microbial cells to form coloured or fluorescent products. Various examples include 4- methylumbelliferone derivatives (page 6, paragraph 81).

When a test sample is a solid sample such as foodstuffs including meat and vegetables, it is homogenised to prepare a liquid specimen (page 5, paragraph 64).

Various additives can be added to the sample liquid. Surfactants for releasing microorganisms which may be adhered to debris in the sample, polypeptone for maintaining the activity of the microorganisms or a polyhydric alcohol for preventing deactivation of the microorganisms or decay of luminescence caused by drying of the filter surface (page 7, paragraph 83).

The difference between the present application (PA) and D1 is that instead of measuring the microorganisms trapped on the filter surface by staining, the liquid vehicle surrounding the microorganisms can be used as the object for the measurement and thus a relatively simple measurement apparatus can be used which does not necessitate means for optical measurement which focus on the filter surface. As this feature is not disclosed in D1, the PA may be considered to be novel over D1.

However D3 discloses (the references in parentheses applying to this document) "a direct method for detecting very low levels of coliform contamination in products for human consumption comprising contacting the microorganisms with a methylumbelliferone substrate. The substrate is hydrolysed into methylumbelliferone by an enzyme given off by the microorganisms. The methylumbelliferone is detected by its fluorescence, either in solution or ...." (abstract). Furthermore "The general procedure for the detection of TC (Total coliform) or FC (Fecal coliform) activity ..... is as follows: (a) the sample is concentrated by passing it through a membrane filter (0.2 micrometers to 0.80 micrometers pore size);

(b) the microorganisms which are retained with the filter are aseptically placed in contact with a sterile medium containing the appropriate 4-MU-substrate; and the resulting fluorescence is measured and utilized as the rate of production of fluorescent product in the liquid medium associated with the sample determined at regular intervals over about fifteen minutes using a fluorescence detecting meter

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(column 6, line 52-column 7, line 8).

Consequently the present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of independent claims 1, 46 and 47 are not new in the sense of Article 33(2) PCT.

### **INVENTIVE STEP** 3.

D3 is considered to be the closest prior art (CPA). The difference between the CPA and claim 2 of the PA is that prior to passing a contaminant (microorganism) containing medium through a filter for concentrating the contaminants on the influent side of the filter, the medium is passed through a pre-filter that does not retain the contaminants but retains larger particles. The problem to be solved is considered to be how to remove larger particles or debris which may interfere with the microorganism detection step. The solution is the incorporation of a pre-filter. As discussed in 2.1 above, D1 discloses, inter alia, a collection unit for the microorganisms includes a first filter for removal of contaminants with a pore size of 5-20 microns which allows the passage of microorganisms in the sample and a second filter with a pore size of 0.2-0.8 microns for trapping the microorganisms for detection (page 1, paragraph 5 and page 2, paragraph 31). As this first filter has exactly the same purpose as the pre-filter of the PA it would be obvious to the man skilled in the art to combine the teachings of D3 and D1 to arrive at the solution to the problem outlined above.

Consequently the present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claim 2 does not involve an inventive step in the sense of Article 33(3) PCT.

- Dependent claims 3-45 do not contain any features which, in combination with the 4. features of any claim to which they refer, meet the requirements of the PCT in respect of novelty and/or inventive step, see documents D1-D4 and the corresponding passages cited in the search report.
- The subject matter of claims 1-47 meets the requirements of Art. 33(4) PCT, having 5. regard to industrial application.

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